

## PERIODIC DISCLOSURES

FORM NL-37-DOWN GRADING OF INVESTMENTS

Company Name & Code: ECGC LTD (124)

Statement as on: December 31, 2019

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

No	Name of the Security	COI	Face Value'Amount (Rs in crs)	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade
<b>A.</b>	<b><u>During the Quarter <sup>1</sup></u></b>							
1	8.94% CANFIN HOMES LTD 2024	HTDN	10.00	05-Mar-15	India Rating	AAA	AA	18-Dec-2019
2	7.89% CANFIN HOMES LTD 2022	HTDN	15.00	29-Aug-17	India Rating	AAA	AA	18-Dec-2019
3	10.30% YES BANK LIMITED 2021	ORAD	5.00	25-Jul-11	ICRA	AA+	A	19-Dec-2019
4	9.30% YES BANK LIMITED 2020	ORAD	5.00	09-Jan-13	ICRA	AA+	A	19-Dec-2019
5	9.65% YES BANK LIMITED 2020	ORAD	5.00	21-Nov-14	ICRA	AA+	A	19-Dec-2019
6	9.90% YES BANK LIMITED 2022	ORAD	25.00	25-Apr-13	ICRA	AA+	A	19-Dec-2019
	<b><u>As on Date</u></b>							
1	9.40% Tata Power Co. Ltd 2022	IODS	5.00	09-Apr-13	CRISIL	AA	AA-	17-Oct-2013
2	8.75% STEEL AUTHORITY OF INDIA LTD 2020	OBPT	15.00	29-Apr-10	CARE	AA+	AA-	2-Aug-2017
3	8.72% STEEL AUTHORITY OF INDIA LTD 2020	OBPT	10.00	30-Apr-10	CARE	AA+	AA-	2-Aug-2017
4	9.35% STEEL AUTHORITY OF INDIA LTD 2026	OBPT	5.00	20-Sep-11	CARE	AA+	AA-	2-Aug-2017
5	8.93% ORIENTAL BANK OF COMMERCE LIMITED 2022	OBPT	5.00	05-Dec-12	ICRA	AA	A+	14-Sep-2018
6	9.60% HINDALCO INDUSTRIES LIMITED 2022	EPBT	5.00	05-Sep-12	CRISIL	AA+	AA	30-Jun-2017
7	9.55% HINDALCO INDUSTRIES LIMITED 2022	EPBT	5.00	30-Apr-12	CRISIL	AA+	AA	30-Jun-2017
8	9.15% TATA STEEL LIMITED 2021	EPBT	5.00	18-Apr-13	CARE	AA+	AA	18-Jan-2017
9	9.15% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2020	IORD	10.00	02-Mar-10	CARE	AAA	D	17-Sep-2018
10	9.20% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2020	IORD	5.00	02-Jul-14	CARE	AAA	D	17-Sep-2018
11	9.82% INFRASTRUCTURE LEASING & FINANCIAL SERVICES. 2022	IORD	10.00	31-Jan-12	CARE	AAA	D	17-Sep-2018
12	9.80% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2022	IORD	10.00	12-Jun-12	CARE	AAA	D	17-Sep-2018
13	9.10% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2020	IORD	5.00	31-Jan-13	CARE	AAA	D	17-Sep-2018
14	9.95% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2019	IORD	10.00	13-Feb-14	CARE	AAA	D	17-Sep-2018
15	9.90% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2021	IORD	10.00	20-Feb-14	CARE	AAA	D	17-Sep-2018
16	9.50% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2024	IORD	10.00	01-Aug-14	CARE	AAA	D	17-Sep-2018
17	9.55% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2024	IORD	10.00	20-Aug-14	CARE	AAA	D	17-Sep-2018
18	9.00% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2024	IORD	25.00	31-Dec-14	CARE	AAA	D	17-Sep-2018
19	8.65% IDFC FIRST BANK LIMITED 2020	ICTD	5.00	15-Dec-15	ICRA	AAA	AA	21-May-2019
20	8.63% IDFC FIRST BANK LIMITED 2020	EPBT	15.00	15-Dec-15	ICRA	AAA	AA	21-May-2019
21	10.30% YES BANK LIMITED 2021	ORAD	5.00	25-Jul-11	ICRA	AA+	A	19-Dec-2019
22	9.30% YES BANK LIMITED 2020	ORAD	5.00	09-Jan-13	ICRA	AA+	A	19-Dec-2019
23	9.65% YES BANK LIMITED 2020	ORAD	5.00	21-Nov-14	ICRA	AA+	A	19-Dec-2019
24	9.90% YES BANK LIMITED 2022	ORAD	25.00	25-Apr-13	ICRA	AA+	A	19-Dec-2019
25	10.25% AMTEK AUTO LTD 2015	OLDB	5.00	23-May-13	ICRA	AA	D	20-May-2015
26	8.94% CANFIN HOMES LTD 2024	HTDN	10.00	05-Mar-15	India Rating	AAA	AA	18-Dec-2019
27	7.89% CANFIN HOMES LTD 2022	HTDN	15.00	29-Aug-17	India Rating	AAA	AA	18-Dec-2019

Dated: February 05, 2020

<https://www.ecgc.in>

**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04